| DOCUMENT<br>NO. | FORM                   | SUBJECT/TITLE   | PAGES | DATE       | RESTRICTION(S) |
|-----------------|------------------------|---|-------|------------|----------------|
| 001a            | Memorandum             | Memorandum from Suzanne A. George for Ms. Kristen D. Bakotic et al. | 2     | 12/22/2016 | P1/b1;         |
| 001b            | Tab Divider            | Tab A   | 1     | N.D.       |                |
| 001c            | Summary of Conclusions | [Summary of Conclusions]  | 3     | 12/09/2016 | P1/b1; P5;     |
| 002             | Table of Contents      | [Table of Contents]   | 1     | 12/09/2016 | P1/b1;         |
| 003             | Agenda                 | [Agenda for Principals Committee Meeting]                           | 1     | 12/09/2016 | P1/b1;         |
| 004             | Paper                  | [Roadmap]   | 6     | 12/09/2016 | P1/b1; P5;     |
| 005             | Tab Divider            | Tab B   | 1     | N.D.       |                |

#### **COLLECTION TITLE:**

**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

**FOLDER TITLE:** 

[PC on Sensitive Issue]

FRC ID:

70728

## RESTRICTION CODES

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Records Not Subject to FOIA

| DOCUMENT<br>NO. | FORM        | SUBJECT/TITLE                                       | PAGES | DATE | RESTRICTION(S) |
|-----------------|-------------|---|-------|------|----------------|
| 006             | Paper       | [Discussion Paper for Principals Committee Meeting] | 8     | N.D. | P1/b1; P5;     |
| 007             | Tab Divider | Tab C   | 1     | N.D. |                |
| 008             | Report      | [Government Report]                                 | 3     | N.D. | P1/b1;         |
| 009             | Tab Divider | Tab D   | 1     | N.D. |                |
| 010             | Paper       | [Discussion Paper with Chart]                       | 7     | N.D. | P1/b1; P5;     |
| 011             | Tab Divider | Tab E   | 1     | N.D. |                |
| 012a            | Draft       | [Memorandum]  | 12    | N.D. | P1/b1; P5;     |
| 012b            | Annex       | [Annex A]   | 1     | N.D. | P1/b1; P5;     |
|                 |             |   |       |      |                |

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|-----------------|-------------|---|-------|------------|----------------|
| 012c            | Annex       | [Annex B]   | 1     | N.D.       | P1/b1; P5;     |
| 013             | Tab Divider | Tab F   | 1     | N.D.       |                |
| 014             | Draft       | [Plan]  | 7     | N.D.       | P1/b1; P5;     |
| 015             | Paper       | Internal Tasking  | 1     | N.D.       | P1/b1; P5;     |
| 016             | List        | [Attendee List for Principals Committee Meeting]                      | 1     | 12/09/2016 | P1/b1;         |
| 017             | Memorandum  | Memorandum for the President from Secretary of State<br>John F. Kerry | 3     | 12/02/2016 | P1/b1; P5;     |
| 018             | Paper       | Internal Tasking [duplicate of 015]                                   | 1     | N.D.       | P1/b1; P5;     |

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|-----------------|-------|--|-------|------------|----------------|
| 019             | List  | [Attendee List for Principals Committee Meeting]<br>[Duplicate of 016] | 1     | 12/09/2016 | P1/b1;         |
| 020a            | Email | From Christopher Fonzone to Avril D. Haines et al.                     | 1     | 12/08/2016 | P1/b1; P5;     |
| 020b            | Paper | [Discussion Paper]   | 4     | N.D.       | P5;            |

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Records Not Subject to FOIA

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|------------|---|-------|------------|----------------|
| Memorandum | Memorandum from Suzanne A. George for Ms. Kristen D. Bakotic et al. | 2     | 12/22/2016 | P1/b1;         |

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**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

**FOLDER TITLE:** 

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

**W0637** 22-26840-F

**NARA Num.:** 

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# Tab A

| FORM                   | SUBJECT/TITLE            | PAGES | DATE       | RESTRICTION(S) |
|------------------------|--------------------------|-------|------------|----------------|
| Summary of Conclusions | [Summary of Conclusions] | 3     | 12/09/2016 | P1/b1; P5;     |

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National Security Council - National Security Advisor

**SERIES:** 

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| FORM              | SUBJECT/TITLE       | PAGES | DATE       | RESTRICTION(S) |
|-------------------|---------------------|-------|------------|----------------|
| Table of Contents | [Table of Contents] | 1     | 12/09/2016 | P1/b1;         |

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**COLLECTION:** 

National Security Council - National Security Advisor

**SERIES:** 

Rice, Susan - Subject Files

**FOLDER TITLE:** 

[PC on Sensitive Issue]

FRC ID:

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22-26840-F 6

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| FORM   | SUBJECT/TITLE                             | PAGES | DATE       | RESTRICTION(S) |
|--------|---|-------|------------|----------------|
| Agenda | [Agenda for Principals Committee Meeting] | 1     | 12/09/2016 | P1/b1;         |

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|-------|---------------|-------|------------|----------------|
| Paper | [Roadmap]     | 6     | 12/09/2016 | P1/b1; P5;     |

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# TAB B

| FORM  | SUBJECT/TITLE                                       | PAGES | DATE | RESTRICTION(S) |
|-------|---|-------|------|----------------|
| Paper | [Discussion Paper for Principals Committee Meeting] | 8     | N.D. | P1/b1; P5;     |

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# TAB C

| FORM   | SUBJECT/TITLE       | PAGES | DATE | RESTRICTION(S) |
|--------|---------------------|-------|------|----------------|
| Report | [Government Report] | 3     | N.D. | P1/b1;         |

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- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]

# TAB D

| FORM  | SUBJECT/TITLE                 | PAGES | DATE | RESTRICTION(S) |
|-------|-------------------------------|-------|------|----------------|
| Paper | [Discussion Paper with Chart] | 7     | N.D. | P1/b1; P5;     |

This marker identifies the original location of the withdrawn item listed above. For a complete list of items withdrawn from this folder, see the Withdrawal/Redaction Sheet at the front of the folder.

**COLLECTION:** 

National Security Council - National Security Advisor

**SERIES:** 

Rice, Susan - Subject Files

**FOLDER TITLE:** 

[PC on Sensitive Issue]

FRC ID: 70728

OA Num.:

W0637

NARA Num.:

**FOIA IDs and Segments:** 

22-26840-F

## RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P1 National Security Classified Information [(a)(1) of the PRA]
- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advise between the President and his advisors, or between such advisors [a)(5) of the PRA]
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- PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

**Deed of Gift Restrictions** 

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## TAB E

| FORM  | SUBJECT/TITLE | PAGES | DATE | RESTRICTION(S) |
|-------|---------------|-------|------|----------------|
| Draft | [Memorandum]  | 12    | N.D. | P1/b1; P5;     |

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**COLLECTION:** 

National Security Council - National Security Advisor

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

W0637 22-26840-F

**NARA Num.:** 

## RESTRICTION CODES

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- P2 Relating to the appointment to Federal office [(a)(2) of the PRA]
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Freedom of Information Act - [5 U.S.C. 552(b)]

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| FORM  | SUBJECT/TITLE | PAGES | DATE | RESTRICTION(S) |
|-------|---------------|-------|------|----------------|
| Annex | [Annex A]     | 1     | N.D. | P1/b1; P5;     |

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**COLLECTION:** 

**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

OA Nulli..

W0637 NARA Num.: **FOIA IDs and Segments:** 

22-26840-F 6

## RESTRICTION CODES

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| FORM  | SUBJECT/TITLE | PAGES | DATE | RESTRICTION(S) |
|-------|---------------|-------|------|----------------|
| Annex | [Annex B]     | 1     | N.D. | P1/b1; P5;     |

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**COLLECTION:** 

National Security Council - National Security Advisor

**SERIES:** 

Rice, Susan - Subject Files

**FOLDER TITLE:** 

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

OA Nulli..

W0637

NARA Num.:

**FOIA IDs and Segments:** 

22-26840-F 6

## RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

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# TAB F

| FORM  | SUBJECT/TITLE | PAGES | DATE | RESTRICTION(S) |
|-------|---------------|-------|------|----------------|
| Draft | [Plan]        | 7     | N.D. | P1/b1; P5;     |

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Withdrawal/Redaction Sheet at the front of the folder.

**COLLECTION:** 

**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

**W0637** 22-26840-F

NARA Num.:

## RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

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- P3 Release would violate a Federal statute [(a)(3) of the PRA]
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| FORM  | SUBJECT/TITLE    | PAGES | DATE | RESTRICTION(S) |
|-------|------------------|-------|------|----------------|
| Paper | Internal Tasking | 1     | N.D. | P1/b1; P5;     |

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**COLLECTION:** 

National Security Council - National Security Advisor

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

W0637

NARA Num.:

**FOIA IDs and Segments:** 

22-26840-F 6

#### RESTRICTION CODES

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| FORM | SUBJECT/TITLE                                    | PAGES | DATE       | RESTRICTION(S) |
|------|--|-------|------------|----------------|
| List | [Attendee List for Principals Committee Meeting] | 1     | 12/09/2016 | P1/b1;         |

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Withdrawal/Redaction Sheet at the front of the folder.

**COLLECTION:** 

**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

**FOLDER TITLE:** 

[PC on Sensitive Issue]

FRC ID:

70728

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W0637

NARA Num.:

**FOIA IDs and Segments:** 

22-26840-F

## RESTRICTION CODES

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| FORM       | SUBJECT/TITLE  | PAGES | DATE       | RESTRICTION(S) |
|------------|--|-------|------------|----------------|
| Memorandum | Memorandum for the President from Secretary of State John F. Kerry | 3     | 12/02/2016 | P1/b1; P5;     |

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**COLLECTION:** 

National Security Council - National Security Advisor

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

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W0637 22-26840-F

**NARA Num.:** 

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| FORM  | SUBJECT/TITLE                       | PAGES | DATE | RESTRICTION(S) |
|-------|-------------------------------------|-------|------|----------------|
| Paper | Internal Tasking [duplicate of 015] | 1     | N.D. | P1/b1; P5;     |

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**COLLECTION:** 

**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

W0637 22-26840-F

**NARA Num.:** 

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| FORM | SUBJECT/TITLE   | PAGES | DATE       | RESTRICTION(S) |
|------|---|-------|------------|----------------|
| List | [Attendee List for Principals Committee Meeting] [Duplicate of 016] | 1     | 12/09/2016 | P1/b1;         |

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**COLLECTION:** 

**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

**FOLDER TITLE:** 

[PC on Sensitive Issue]

FRC ID:

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W0637 22-26840-F

**NARA Num.:** 

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| FORM  | SUBJECT/TITLE                                      | PAGES | DATE       | RESTRICTION(S) |
|-------|--|-------|------------|----------------|
| Email | From Christopher Fonzone to Avril D. Haines et al. | 1     | 12/08/2016 | P1/b1; P5;     |

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**COLLECTION:** 

**National Security Council - National Security Advisor** 

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

OA Nulli.

W0637

NARA Num.:

**FOIA IDs and Segments:** 

22-26840-F 6

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| FORM  | SUBJECT/TITLE      | PAGES | DATE | RESTRICTION(S) |
|-------|--------------------|-------|------|----------------|
| Paper | [Discussion Paper] | 4     | N.D. | P5;            |

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**COLLECTION:** 

National Security Council - National Security Advisor

**SERIES:** 

Rice, Susan - Subject Files

FOLDER TITLE:

[PC on Sensitive Issue]

FRC ID:

70728

OA Num.:

OA Nulli.

W0637 NARA Num.: **FOIA IDs and Segments:** 

22-26840-F 6

#### RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

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- P3 Release would violate a Federal statute [(a)(3) of the PRA]
- P4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P5 Release would disclose confidential advise between the President and his advisors, or between such advisors [a)(5) of the PRA]
- P6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]
- PRM. Personal record misfile defined in accordance with 44 U.S.C. 2201(3).

**Deed of Gift Restrictions** 

- A. Closed by Executive Order 13526 governing access to national security information.
- B. Closed by statute or by the agency which originated the document.
- C. Closed in accordance with restrictions contained in donor's deed

- b(1) National security classified information [(b)(1) of the FOIA]
- b(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
- b(3) Release would violate a Federal statute [(b)(3) of the FOIA]
- b(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
- b(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
- b(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
- b(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- b(9) Release would disclose geological or geophysical information concerning wells [(b)(9) of the FOIA]