

Executive Branch Personnel Public Financial Disclosure Report

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) 05/03/2015	Reporting Status (Check Appropriate Boxes) <input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate	Calendar Year Covered by Report (Check Appropriate Boxes) []	Termination Date (If Applicable) (Month, Day, Year) []	Termination Filer <input type="checkbox"/>	Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.
Reporting Individual's Name Adler Caroline E					
Position for Which Filing Title of Position Special Assistant to the President and Director of Communications to the First Lady					
Location of Present Office (or forwarding address) Address (Number, Street, City, State, and ZIP Code) 1600 Pennsylvania Avenue NW, Washington DC 20502					
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) Deputy Director of Communications to the First Lady (2/2013 - 5/2015)					
Presidential Nominee Subject to Senate Confirmation Name of Congressional Committee Considering Nomination <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.					
Other Review (If desired by agency) Signature of Reporting Individual [Redacted Signature] Date (Month, Day, Year) 6-2-2015					
Agency Ethics Official's Opinion Signature of Designated Agency Ethics Official/Reviewing Official [Handwritten Signature] Date (Month, Day, Year) 8/6/2015					
Office of Government Ethics Use Only Signature of Other Reviewer [Redacted Signature] Date (Month, Day, Year) []					
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet) [Redacted] (Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>					

(Check box if comments are continued on the reverse side)

SCHEDULE A continued
 (Use only if needed)

Assets and Income	BLOCK B												BLOCK C																		
	Valuation of Assets at close of reporting period						Type			Amount			Date (Mo., Day, Yr.) Only if Honoraria																		
BLOCK A	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$25,000,001 - \$50,000,000	Over \$50,000,000		Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		
1																															
2																															
3																															
4																															
5																															
6																															
7																															
8																															
9																															

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

SCHEDULE B

Reporting individual's Name
 Adler, Caroline E

Part I: Transactions

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss.

None

Identification of Assets

Example Central Airlines Common

Transaction Type (X)	Date (Mo., Day, Yr.)	Amount of Transaction (X)														
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Over \$1,000,000	Over \$5,000,000	Over \$25,000,000	Over \$50,000,000	Certificate of Divestiture			
Purchase X	2/1/99															
Exchange																
Sale																

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$350 and (2) travel-related cash reimbursements received from one source totaling more than \$350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$140 or less. See instructions for other exclusions.

None

Source (Name and Address)	Brief Description	Value
Examples Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) Leather briefcase (personal friend)	\$500 \$385

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
 Adler, Caroline E

SCHEDULE B continued
 (Use only if needed)

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Part I: Transactions

	Identification of Assets	Transaction Type (X)			Date (Mo., Day, Yr.)	Amount of Transaction (X)																								
		Purchase	Sale	Exchange		\$1,001 - \$15,001	\$15,001 - \$50,001	\$50,001 - \$100,001	\$100,001 - \$250,001	\$250,001 - \$500,001	\$500,001 - \$1,000,001	Over \$1,000,001	\$1,000,001 - \$5,000,001	\$5,000,001 - \$25,000,001	\$25,000,001 - \$50,000,001	Over \$50,000,001	Certificate of Divestiture													
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*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Reporting Individual's Name
 Adler, Caroline E

SCHEDULE C

Page Number

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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

Creditor's (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (x)														
					\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000					
Examples First District Bank, Washington, DC John Jones, Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand		X													
1																			
2																			
3																			
4																			
5																			

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

Example	Status and Terms of any Agreement or Arrangement	Parties	Date
1	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe, Jones & Smith, Hometown, State	7/85
2			
3			
4			
5			
6			

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

Examples	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
1	Natl Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
2					
3					
4					
5					
6					

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

Examples	Source (Name and Address)	Brief Description of Duties
1	Doe Jones & Smith, Hometown, State Metro University (Client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
2		
3		
4		
5		
6		

Assets and Income	Value at 4/30/15	Income (for period 1/1/2014 - 4/30/2015)	Type
Abbott Laboratories	X	None (for less than \$201)	Block A
Accenture Ltd Cl A	X	None (for less than \$201)	Block A
Activis	X	None (for less than \$201)	Block A
American Express Co., 2.25%, Due 08/15/19	X	None (for less than \$201)	Block A
Apple Inc	X	None (for less than \$201)	Block A
Auto Data Processing	X	None (for less than \$201)	Block A
Berkshire Hathaway Cl B	X	None (for less than \$201)	Block A
Boeing Company	X	None (for less than \$201)	Block A
Boeing Company, 3.75%, Due 11/20/2016	X	None (for less than \$201)	Block A
Bristol-Myers Squibb	X	None (for less than \$201)	Block A
CDK Global Inc.	X	None (for less than \$201)	Block A
Celgene Corp.	X	None (for less than \$201)	Block A
Corner Corp.	X	None (for less than \$201)	Block A
Charles Schwab Corp.	X	None (for less than \$201)	Block A
Chevron Corp	X	None (for less than \$201)	Block A
Chicago Bridge & Iron	X	None (for less than \$201)	Block A
Cisco Systems Inc.	X	None (for less than \$201)	Block A
Colgate-Palmolive Co.	X	None (for less than \$201)	Block A
Cummins Inc.	X	None (for less than \$201)	Block A
CVS Health Corp.	X	None (for less than \$201)	Block A
Devon Energy	X	None (for less than \$201)	Block A
Discover Financial	X	None (for less than \$201)	Block A
Disney Walt Co	X	None (for less than \$201)	Block A
EBay Inc.	X	None (for less than \$201)	Block A
EMC Corp Mass	X	None (for less than \$201)	Block A
General Electric Company	X	None (for less than \$201)	Block A
General Sciences, Inc.	X	None (for less than \$201)	Block A
Google Inc Class A	X	None (for less than \$201)	Block A
Google Inc Class C	X	None (for less than \$201)	Block A
Home Depot Inc.	X	None (for less than \$201)	Block A
Intel Corp	X	None (for less than \$201)	Block A
iShares TIPS Bond ETF (TIPS)	X	None (for less than \$201)	Block A
iShares Trust Russell 2000 (IWM)	X	None (for less than \$201)	Block A
Johnson & Johnson	X	None (for less than \$201)	Block A
Kraft Foods, Inc	X	None (for less than \$201)	Block A
McCormick & Co Inc	X	None (for less than \$201)	Block A
McDonalds Corp	X	None (for less than \$201)	Block A
Merck & Co, Inc. New	X	None (for less than \$201)	Block A
Microsoft Corp	X	None (for less than \$201)	Block A
Mondelēz Int, Inc Cl A	X	None (for less than \$201)	Block A
Mylan Inc.	X	None (for less than \$201)	Block A
Nike Inc Class B	X	None (for less than \$201)	Block A
National Oilwell Varco, Inc.	X	None (for less than \$201)	Block A
Now Inc	X	None (for less than \$201)	Block A
Nuance Communications, Inc.	X	None (for less than \$201)	Block A
NXP Semiconductors NV F	X	None (for less than \$201)	Block A
PepsiCo Incorporated	X	None (for less than \$201)	Block A
Procter & Gamble	X	None (for less than \$201)	Block A
Public Storage	X	None (for less than \$201)	Block A
Qualcomm Inc	X	None (for less than \$201)	Block A
Ralph Lauren Co., 2.125%, Due 09/26/18	X	None (for less than \$201)	Block A
Roche Hldg Ltd Spon Adrt	X	None (for less than \$201)	Block A
Schmberger Ltd	X	None (for less than \$201)	Block A
SFDR Gold Shares (GLD)	X	None (for less than \$201)	Block A
SFDR S&P Midcap 400 ETF (MDY)	X	None (for less than \$201)	Block A
Starbucks Corp	X	None (for less than \$201)	Block A
Stryker Corp	X	None (for less than \$201)	Block A
Time Warner Inc.	X	None (for less than \$201)	Block A
Time Inc.	X	None (for less than \$201)	Block A
Trinity Industries, Inc.	X	None (for less than \$201)	Block A
TJX Cos Inc.	X	None (for less than \$201)	Block A
Union Pacific Corp	X	None (for less than \$201)	Block A
Vanguard Int Term Corp Bond Index Fund (VCIT)	X	None (for less than \$201)	Block A
Vanguard Corp Bond ETF Short Term (VCSH)	X	None (for less than \$201)	Block A
Vanguard F TSE Emerging Market (VVO)	X	None (for less than \$201)	Block A
Vanguard F TSE Developed Markets (VEA)	X	None (for less than \$201)	Block A
Vanguard FTSE AllWorld EX US Small (VSS)	X	None (for less than \$201)	Block A
Vanguard F TSE Europe (VGE)	X	None (for less than \$201)	Block A
Versk Analytics Inc Cl A	X	None (for less than \$201)	Block A
Verizon Communications	X	None (for less than \$201)	Block A
V F Corp.	X	None (for less than \$201)	Block A
Vodafone Group New ADR	X	None (for less than \$201)	Block A
Wells Fargo & Co New	X	None (for less than \$201)	Block A
Wells Fargo 5.25% Ftd	X	None (for less than \$201)	Block A
Wells Fargo & Co, 3.75%, Due 10/01/14	X	None (for less than \$201)	Block A
Williams Companies	X	None (for less than \$201)	Block A
iShares Tr Barclays Bond Int - ClU (IRA Account)	X	None (for less than \$201)	Block A
iShares Tr Barclays TIPS - TIP (IRA Account)	X	None (for less than \$201)	Block A
iShares Russell 2000 - IWM (IRA Account)	X	None (for less than \$201)	Block A
SFDR S&P 500 ETF TR - SPY (IRA Account)	X	None (for less than \$201)	Block A
SFDR Gold Trust - GLD (IRA Account)	X	None (for less than \$201)	Block A
SFDR S&P Midcap 400 ETF - MDY (IRA Account)	X	None (for less than \$201)	Block A
Vanguard Int Term Bond ETF - BIV (IRA Account)	X	None (for less than \$201)	Block A
Vanguard Int Term Bond ETF - BSV (IRA Account)	X	None (for less than \$201)	Block A
Vanguard FTSE Emerging Mk - VWO (IRA Account)	X	None (for less than \$201)	Block A
Vanguard FTSE Europe - VGE (IRA Account)	X	None (for less than \$201)	Block A

CAROLINE ELISABETH ADLER - Individual Account and IRA
 SCHEDULE A ATTACHMENT - ASSETS AND INCOME EARNING ASSETS AT 6/02/2015

Assets and Income	Block A	Block B	Income (for period 1/1/2014 - 6/2/2015)										
			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	Excepted Investment Trust Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest
Family Trust Account (1/3 Interest):			X										
Utah Wtr Fin Agy Rev. Bond, 5%, 10/1/2015										X			
California State GO Bond, 3%, Due 10/1/2015										X			
California State GO Bond, 3%, Due 10/1/2015										X			
University Calif Rev Bond, 3%, Due 6/15/2016										X			
Calleguas Calif Mun Wtr Dist Bond, 4%, Due 7/1/2019										X			
Oregon State Dept Tran Bond, 2%, Due 11/15/2016										X			
California State Bond, 2%, 2/01/2017										X			
New York State Dorm Auth Bond, 2%, Due 2/15/2018										X			
Wells Fargo Co. Pfd stock, 5.25% - WFC+P									X				
Shares Russell 2000 - IWM									X				
SPDR S&P MidCap 400 ETF-MDY									X				
SPDR S&P 500 ETF TR - SPY									X				
Shares MSCI Mexico - EWW									X				
Vanguard FTSE AllWorld EX US Small - VSS									X				
Vanguard FTSE Emerging Market - VWO									X				
Vanguard FTSE Developed Markets - VEA									X				
Vanguard European - VGK									X				
SPDR Gold Trust - GLD									X				