OGE Form 278 (Rev 12/2011)
5 C F R Part 2634
U.S Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209-0001

2/15/15

Date of Appointment, Candidacy, Election, or Nomination (*Month, Day, Year*) 11-25-2013	Reporting Status (Check Appropriate Boxes)	Incumbent [ ] Calendar Year Covered by Report	New Entrant, Nominee, or Candidate [x]	Termination Filer [ ] Termination Date (*If Applicable*) (*Month, Day, Year*)
Reporting Individual's Name	Last Name: Harris		First Name and Middle Initial: Adrienne A	
Position for Which Filing	Title of Position: ~~Senior Advisor~~ SAP for Econ. Policy		Department or Agency (*If Applicable*): ~~Department of Treasury~~ White House Office	
Location of Present Office (or forwarding address)	Address (*Number, Street, City, State, and ZIP Code*): [redacted] 1600 Penn. Ave. NW Washington DC 20502		Telephone No. (*Include Area Code*): [redacted] 202-456-1414	
Position(s) Held with the Federal Government During the Preceding 12 Months (*If Not Same as Above*)	Title of Position(s) and Date(s) Held			
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination		Do You Intend to Create a Qualified Diversified Trust? [ ] Yes [ ] No	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual [redacted]		Date (*Month, Day, Year*) 12/6/13	
Other Review (If desired by agency)	Signature of Other Reviewer Donna Cener		Date (*Month, Day, Year*) 1/15/14	
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).	Signature of Designated Agency Ethics Official/Reviewing Official Elizabeth [illegible]		Date (*Month, Day, Year*) 2/3/14	
Office of Government Ethics Use Only	Signature [illegible] 4/17/15		Date (*Month, Day, Year*)	

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

Clarifications per 12/26/13 filer email + 1/14/14 email

(Check box if filing extension granted & indicate number of days) [ ]

(Check box if comments are continued on the reverse side) [ ]

Fee for Late Filing

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods

Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only
~~12/6/13~~ 3/16/15
OGE Use Only

Supersedes Prior Editions.

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Reporting Individual's Name: Harris, Adrienne A.

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common				x												x						x										
Examples: Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					x								x										x									
Examples: IRA: Heartland 500 Index Fund							x						x											x								
1 Fidelity 401(K): Fid Freedom K 2045					x								x						x						x							
2 Citibank Checking Account		x																x		x												
3 Citibank Savings Account				x														x		x												
4 Sullivan & Cromwell LLP																											x				law firm salary: $250,000 for 2013 $230,000 for 2012 AH	
5 Cory Booker for Senate																										x					Consulting fee: $60,000 2013 AH	
6																																

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name: Harris, Adrienne A.

SCHEDULE A continued

(Use only if needed)

Assets and Income — BLOCK A | Valuation of Assets at close of reporting period — BLOCK B | Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1																																	
2																																	
3	IRA: AMERICAN FUNDS EUROPACIFIC GR F-1		x											X			x			x		x											
4	IRA: AMERICAN FUNDS SMALLCAP WORLD F-1		x											X			x			x		x											
5	IRA: AMERICAN FUNDS CAPITAL WORLD G/I F-1		x											X			x			x		x											
6	IRA: AMERICAN FUNDS GROWTH FUND OF AMER F-1		x											X			x			x			x										
7	IRA: AMERICAN FUNDS INVMT CO, OF AMERICA F-1		x											X			x			x			x										
8	IRA: EATON VANCE DIVIDEND BUILDER FUND CLASS A		x											X			x			x			x										
9	IRA: EATON VANCE TRADITIONAL WORLDWIDE HEALTH SCIENCES FD CL A		x											X			x			x			x										

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Reporting Individual's Name: Harris, Adrienne A.

SCHEDULE A continued

(Use only if needed)

Block A: Assets and Income

Block B: Valuation of Assets at close of reporting period

Block C: Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

#	Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	IRA: LORD ABBETT VALUE OPPORTUNITIES FUND, CLASS A		x											X			x			x		x											
2	IRA: PRINCIPAL MIDCAP FUND, CLASS A		x											X			x			x		x											
3	IRA: AMERICAN FUNDS, INTERM BD FD OF AMER F-1		x											X			x			x	x												
4	IRA: AMERICAN FUNDS CAPITAL WORLD BOND F-1		x											X			x			x		x											
5	IRA: DELAWARE HIGH YIELD OPPORTUNITIES FUND CL A		x											X			x			x		x											
6	IRA: PIMCO LONG TERM US GOVT FUND CL A		x											X			x			x			x										
7																																	
8	Roth IRA: ALGER MIDCAP GROWTH FUND CLASS A		x											X			x			x		x											
9	Roth IRA: BLACKROCK ALL-CAP ENERGY & RESOURCES PORTFOLIO FUND CLASS A		x											X			x			x	x												

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Reporting Individual's Name: Harris, Adrienne A.

SCHEDULE A continued
(Use only if needed)

Assets and Income — BLOCK A

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

#	BLOCK A: Assets and Income	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Roth IRA: CALAMOS GROWTH FUND CLASS A		x											X			x			x			x										
2	Roth IRA: DAVIS NEW YORK VENTURE FD CL A		x											X			x			x		x											
3	Roth IRA: LORD ABBETT MID CAP STOCK FUND CLASS A		x											X			x			x	x												
4	Roth IRA: MFS INTERNATIONAL DIVERSIFICATION FUND, CLASS A		x											X			x			x		x											
5	Roth IRA: OLSTEIN ALL CAP VALUE FUND CLASS C		x											X			x			x		x											
6	Roth IRA: PENNSYLVANIA MUTUAL FUND, CONSULTANT CLASS (Royce)		x											X			x			x		x											
7																																	
8																																	
9																																	

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Reporting Individual's Name: Harris, Adrienne A.

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒ AA

	Creditors (*Name and Address*)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10 %	on demand					x						
1 AA																
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I plan to leave my Fidelity 401(k) savings in the current account. My former employer does not contribute to this account.	Fidelity Investments	
2			
3			
4			
5			
6			

Reporting Individual's Name		Page Number
Harris, Adrienne A.	SCHEDULE D	7

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization *(Name and Address)*	Type of Organization	Position Held	From *(Mo., Yr.)*	To *(Mo., Yr.)*
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Sullivan & Cromwell LLP, 125 Broad Street, New York, NY 10004	Law firm	Associate	11/08	11/13
2	American Constitution Society, NY Chapter, New York, NY	non-profit organization	NY Lawyer Chapter Board Member	2011 (est.)	present
3	Summer Search, 261 5th Ave., 8th Fl., New York, NY 10016	non-profit organization	New York Board Member	6/13	present
4	Brooklyn Community Board 2, 350 Jay St., 8th Fl., Brooklyn, NY 11201	appointed volunteer position	Member	summer '12 (est)	11/13
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

None ☐

	Source *(Name and Address)*	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	Morgan Stanley & Co Inc -Securities Mtrs (client of Sullivan & Cromwell LLP)	legal services
2	American Express Company (client of Sullivan & Cromwell LLP)	legal services
3	Diageo PLC (client of Sullivan & Cromwell LLP)	legal services
4	Wells Fargo Bank, N.A. (client of Sullivan & Cromwell LLP)	legal services
5	Unum Group (client of Sullivan & Cromwell LLP)	legal services
6	JPMorgan Chase & Co. (client of Sullivan & Cromwell LLP)	legal services

Reporting Individual's Name Harris, Adrienne A.	SCHEDULE D Cont'd.	Page Number 8
Mitsubishi UFJ Financial Group, Inc. (client of Sullivan & Cromwell LLP)	legal services	
VeriFone Inc. (client of Sullivan & Cromwell LLP)	legal services	
Managed Funds Association (client of Sullivan & Cromwell LLP)	legal services	
GS Legal and Compliance (client of Sullivan & Cromwell LLP)	legal services	
Futures Industry Association (client of Sullivan & Cromwell LLP)	legal services	
Ally Financial Inc. (client of Sullivan & Cromwell LLP)	legal services	
McMillon, Carl Douglas (client of Sullivan & Cromwell LLP)	legal services	
Bancolombia S.A. (client of Sullivan & Cromwell LLP)	legal services	
The Boeing Company (client of Sullivan & Cromwell LLP)	legal services	
The PMI Group, Inc. (client of Sullivan & Cromwell LLP)	legal services	
Popular, Inc. (client of Sullivan & Cromwell LLP)	legal services	
Sullivan & Cromwell LLP (New York, NY)	legal services; employed as a litigaiton associate	
Cory Booker for Senate (Newark, NJ)	consulting services; hiring senior campaign staff and consultants	