

SCHEDULE A continued
 (Use only if needed)

| Assets and Income | | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | |
|-------------------|--|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|--|-------------------|---------------------------|-----------|--------------------|----------|---------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|--|--|--|--|
| | | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | |
| BLOCK A | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | Over \$25,000,000 | Over \$50,000,000 | None (or less than \$201) | Dividends | Rent and Royalties | Interest | Capital Gains | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | |
| 1 | ISHARES IBOXX INVESTMENT GRADE CORP | | X | | | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 2 | COLUMBIA ACORN FUND CLASS A | | X | | | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 3 | COLUMBIA DIVIDEND OPP FUND CLASS A | | X | | | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 4 | INVESCO SENIOR LOAN FUND CLASS A | | | | X | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 5 | JP MORGAN INCOME BUILDER CLASS A | | X | | | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 6 | PUTNAM VOYAGER FD CLA | | | | X | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 7 | FT UNIT 5108 SABRIENT BAKERS DOZEN | | X | | | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 8 | COLUMBIA SELECT LARGE CAP GROWTH CLASS A | | | | X | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |
| 9 | FEDERATED STRATEGIC VALUE DIV FUND CLASS A | | | | X | | | | | | | | | | X | | | X | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

| Assets and Income | BLOCK B Valuation of Assets at close of reporting period | | | | | | | | | | | | BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|---|---|--|--|--|--|--|--|--|
| | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | |
| 1 HARTFORD CAPITAL APPRECIATION FD CLASS A | | | X | | | | | | | | | | X | | | | | | | | | | | | | | | | | | | | | | | |
| 2 HENNESSY FOCUS FUND INVESTOR CLASS | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |
| 3 JP MORGAN STRATEGIC INCOME CLASS A | | | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 LORD ABBETT SHORT DURATION CLASS A | | X | | | | | | | | | | | | | | | | X | | | | | | | | | | | | | | | | | | |
| 5 Tribune Media - formerly The Tribune Company | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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SCHEDULE C

Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None

| Examples | Creditors (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | Category of Amount or Value (X) | | | | | | | | | | | | | | | |
|----------|---|--|---------------|---------------|----------------------|---------------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|---------------------------|----------------------------|-----------------------------|------------------------------|--------------------|--|--|--|--|--|
| | | | | | | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | \$50,000,001 - \$250,000,000 | Over \$250,000,000 | | | | | |
| 1 | First District Bank, Washington, DC John Jones, Washington, DC | Mortgage on rental property, Delaware Promissory note | 1991 1999 | 8% 10% | 25 yrs. on demand | | | X | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | | | | | | |

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

| Example | Status and Terms of any Agreement or Arrangement | | Parties | Date |
|---------|---|--|------------------------------------|------|
| | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | | | |
| 1 | | | Doe Jones & Smith, Hometown, State | 7/85 |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

| Examples | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) To (Mo., Yr.) | |
|----------|---|----------------------------------|----------------------|-------------------------------|------|
| | | | | Present | 1/00 |
| 1 | Natl Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State | Non-profit education Law firm | President Partner | 6/92 | 7/85 |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | | | | | |

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. None

| Examples | Source (Name and Address) | Brief Description of Duties |
|----------|---------------------------|-----------------------------|
| | | |
| 2 | | |
| 3 | | |
| 4 | | |
| 5 | | |
| 6 | | |