OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved:
OMB No. 3209 - 0001

Date of Appointment, Candidacy, Election, or Nomination *(Month, Day, Year)* 03/23/2015	Reporting Status (Check Appropriate Boxes)	Incumbent ☒	Calendar Year Covered by Report: 2015	New Entrant, Nominee, or Candidate ☐ · Termination Filer ☐ · Termination Date *(If Applicable) (Month, Day, Year)*
Reporting Individual's Name	Last Name: Newman		First Name and Middle Initial: David A	
Position for Which Filing	Title of Position: Special Assistant to the President and Associate Counsel		Department or Agency *(If Applicable)*: EOP-WHO	
Location of Present Office (or forwarding address)	Address *(Number, Street, City, State, and ZIP Code)*: Eisenhower Executive Office Building, Washington, D.C. 20502		Telephone No. *(Include Area Code)*: 202-456-1414	
Position(s) Held with the Federal Government During the Preceding 12 Months *(If Not Same as Above)*	Title of Position(s) and Date(s) Held: None			
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee Considering Nomination: Not Applicable		Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No	
Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Signature of Reporting Individual [redacted]		Date *(Month, Day, Year)* 4/15/16	
Other Review (If desired by agency)	Signature of Other Reviewer		Date *(Month, Day, Year)*	
Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below)	Signature of Designated Agency Ethics Official/Reviewing Official Rachel Dowell		Date *(Month, Day, Year)* 5/19/16	
Office of Government Ethics Use Only	Signature		Date *(Month, Day, Year)*	

Comments of Reviewing Officials *(If additional space is required, use the reverse side of this sheet)*

(Check box if filing extension granted & indicate number of days ______) ☐

(Check box if comments are continued on the reverse side) ☐

Fee for Late Filing
Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a $200 fee.

Reporting Periods
Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

Nominees, New Entrants and Candidates for President and Vice President:

Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

Schedule B--Not applicable.

Schedule C, Part I (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

Schedule C, Part II (Agreements or Arrangements)--Show any agreements or arrangements as of the date of filing.

Schedule D--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

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Supersedes Prior Editions.

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Newman, David A

SCHEDULE A

Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual amount of any honoraria over $200 of your spouse).

None ☐

Valuation of Assets at close of reporting period — BLOCK B

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

Assets and Income (BLOCK A)	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Type: Dividends	Type: Rent and Royalties	Type: Interest	Type: Capital Gains	Amount: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	Over $5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
Examples: Central Airlines Common				x												x						x										
Examples: Doe Jones & Smith, Hometown, State			x																												Law Partnership Income $130,000	
Examples: Kempstone Equity Fund					x								x										x									
Examples: IRA: Heartland 500 Index Fund							x						x											x								
1 Shady Grove Fertility Center Rockville, MD (medical practice; spouse's employer)																															spouose's salary	
2 TD Ameritrade, cash accounts				X																X												
3 Citibank, cash accounts					X															X												
4 Attached appendix (holdings in brokerage acct)																															See attached	
5																																
6																																

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Newman, David A

SCHEDULE B

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss.

Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

	Identification of Assets	Transaction Type (x)			Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		Purchase	Sale	Exchange		$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
Example	Central Airlines Common	x			2/1/99			x									
1	Vanguard CRSP US Large Cap Fund Growth Ind ETF	X			9/8/15	X											
2	iShares Barclays 1-3 Year Treasry Bnd Fd	X			9/8/15	X											
3	iShares JPMorgan USD Emer Mkt Bnd Fd ETF	X			9/8/15	X											
4	Vanguard Long-Term Bond ETF	X			9/8/15	X											
5	Vanguard Emerging Markets Stock Index Fd	X			9/8/15	X											

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $350 and (2) travel-related cash reimbursements received from one source totaling more than $350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $140 or less. See instructions for other exclusions.

None ☒

	Source (Name and Address)	Brief Description	Value
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	$500
	Frank Jones, San Francisco, CA	Leather briefcase (personal friend)	$385
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OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name: Newman, David A

SCHEDULE B continued
(Use only if needed)

Part I: Transactions

	Identification of Assets	Transaction Type (x): Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	Amount of Transaction (x): $1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Certificate of divestiture
1	iShares Barclays MBS Bond Fund	X			9/8/15	X											
2	iShares Barclays TIPS Bond Fund	X			9/8/15	X											
3	iShares Russell Midcap Growth Idx	X			9/8/15	X											
4	iShares Interm Government/Credit Bd	X			9/8/15		X										
5	Vanguard Mid-Cap Value ETF	X			9/8/15	X											
6	SPDR Barclays Capital High Yield Bnd ETF	X			9/8/15	X											
7	Vanguard CRSP US Large Cap Value Ind ETF	X			9/8/15	X											
8	Vanguard FTSE Developed Markets ETF	X			9/8/15	X											
9																	
10																	
11																	
12																	
13																	
14																	
15																	
16																	

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name: Newman, David A

SCHEDULE C

Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

	Creditors (*Name and Address*)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001- $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000*	$1,000,001- $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Examples	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs.			x								
	John Jones, Washington, DC	Promissory note	1999	10%	on demand					x						
1	ACCESS GROUP INC., West Chester, PA	student loan	2005	1.625%	135 months		X									
2	ACCESS GROUP INC., West Chester, PA	student loan	2006	3.5%	137 months	X										
3	ACCESS GROUP INC., West Chester, PA	student loan	2006	3.933%	140 months	X										
4	NAVIENT, Willmington, DE	student loan	2005	2.875%	180 months	X										
5	GREAT LAKES HIGHER ED CORP., Madison, WI	student loan	2005	2.875%	180 months	X										

*This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☒

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
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OGE Form 278 (Rev. 12/2011)
5 C.F.R. Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name		Page Number
Newman, David A	SCHEDULE D	6 of 6

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (*Name and Address*)	Type of Organization	Position Held	From (*Mo., Yr.*)	To (*Mo.,Yr.*)
Examples	Nat'l Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Estate of Family Member (probated in New York, NY)	Estate	Executor	05/2015	present
2					
3					
4					
5					
6					

Part II: Compensation in Excess of $5,000 Paid by One Source

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate.

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

None ☐

	Source (*Name and Address*)	Brief Description of Duties
Examples	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1	None	
2		
3		
4		
5		
6		

Filer's Name	Page Number
David Newman	1

BROKERAGE ACCOUNT ASSETS

#	Description	EIF	Value	Income Type	Income Amount
1.	ACTIVISION BLIZZARD INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
2.	ALPHABET INC CLASS C	N	$1,001 - $15,000	CAPITAL GAIN	$201 - $1,000
3.	ALPHABET INC CL A	N	None (or less than $1,001)	CAPITAL GAIN	$201 - $1,000
4.	AMERICAN EXPRES CO CM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
5.	AMERICAN TOWER CORP REIT	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
6.	AMERICAN WATER WORKS CO COM	N	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	$201 - $1,000
7.	AMGEN INC COM	N	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	$201 - $1,000
8.	APPLE INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
9.	BARD C R INC COM	N	$1,001 - $15,000	DIVIDEND	$201 - $1,000
10.	BAXALTA INC COM	N	$1,001 - $15,000	DIVIDEND	None (or less than $201)
11.	BAXTER INTERNATIONAL INC CM	N	None (or less than $1,001)	DIVIDEND	None (or less than $201)
12.	BIOGEN INC COM	N	$1,001 - $15,000	DIVIDEND	None (or less than $201)
13.	CHEVRON CORP COM	N	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	None (or less than $201)
14.	CITIGROUP INC COM	N	$1,001 - $15,000	DIVIDEND	None (or less than $201)
15.	COSTCO WHOLESALE CORP COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
16.	DANAHER CORP DEL COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
17.	DICK'S SPORTING GOODS INC COM	N	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	None (or less than $201)
18.	E M C CORP MASS COM	N	$1,001 - $15,000	CAPITAL GAIN	None (or less than $201)
19.	EATON CORP PLC ORD	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	None (or less than $201)
20.	EOG RESOURCES INC COM		None (or less than $1,001)	DIVIDEND	None (or less than $201)

Filer's Name	Page Number
David Newman	2

BROKERAGE ACCOUNT ASSETS

#	Description	EIF	Value	Income Type	Income Amount
1.	EXELON CORPORATION COM	N	None (or less than $1,001)	DIVIDEND	None (or less than $201)
2.	EXPRESS SCRIPTS HOLDING CO COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
3.	EXXON MOBIL CORPORATION COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
4.	FLEXSHARES TRUST FLEXM GLOBAL UPSTRM NAT RES	Y	$15,001 - $50,000	DIVIDEND	$201 - $1,000
5.	FLEXSHARES TRUST FLEX 3YR TARGET DURATION TIPS	Y	$15,001 - $50,000	DIVIDEND	None (or less than $201)
6.	GENERAL ELECTRIC CO COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
7.	GILEAD SCIENCES INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
8.	HALLIBURTON CO COM	N	None (or less than $1,001)	DIVIDEND	None (or less than $201)
9.	HOME DEPOT INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
10.	INTERCONTINENTAL EXCHANGE INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
11.	JP MORGAN CHASE & CO COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
12.	KOHLS CORP COM	N	None (or less than $1,001)	DIVIDEND	None (or less than $201)
13.	MCDONALDS CORP COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	None (or less than $201)
14.	MERCK & CO INC. COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
15.	METLIFE INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
16.	MONDELEZ INTL INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
17.	NIKE INC CL B	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
18.	NORFOLK SOUTHERN CORP COM	N	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	$201 - $1,000
19.	NUCOR CORP COM	N	$1,001 - $15,000	DIVIDEND	None (or less than $201)
20.	NXP SEMICONDUCTOR NV COM	N	None (or less than $1,001)	DIVIDEND	None (or less than $201)

Filer's Name	Page Number
David Newman	3

BROKERAGE ACCOUNT ASSETS

#	Description	EIF	Value	Income Type	Income Amount
1.	ORACLE CORP COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
2.	PFIZER INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
3.	POWERSHARES QQQQ	Y	$1,001 - $15,000	DIVIDEND	$201 - $1,000
4.	PRECISION CASTPARTS CORP COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
5.	PROCTOR GAMBLE CO COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
6.	QUALCOMM INC COM	N	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	$201 - $1,000
7.	SALESFORCE.COM INC COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
8.	SCHLUMBERGER LTD COM	N	$1,001 - $15,000	DIVIDEND	None (or less than $201)
9.	SPDR DOW JONES INDUSTRIAL AVE ETF TRUST	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
10.	SPDR TR S&P 500 ETF TF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
11.	STARBUCKS CORP COM	N	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
12.	TERDATA CORP COM	N	None (or less than $1,001)	DIVIDEND	None (or less than $201)
13.	TWENTYFIRST CENTURY FOX INC CLASS A COM	N	$1,001 - $15,000	DIVIDEND	None (or less than $201)
14.	TWITTER INC COM	Y	None (or less than $1,001)	DIVIDEND	None (or less than $201)
15.	V F CORP COM	Y	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	$201 - $1,000
16.	VANGUARD REIT ETF INDEX	Y	None (or less than $1,001)	DIVIDEND	$201 - $1,000
17.	VERIZON COMMUNICATIONS COM	Y	None (or less than $1,001)	DIVIDEND and CAPITAL GAIN	None (or less than $201)
18.	WALT DISNEY CO COM	Y	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
19.	WELLS FARGO & CO COM	Y	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
20.	ZIMMER BIOMET HOLDINGS INC COM	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)

Filer's Name	Page Number
David Newman	4

BROKERAGE ACCOUNT ASSETS

#	Description	EIF	Value	Income Type	Income Amount
1.	NORTHERN FDS MULTIMANAGER LISTED INFRA	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
2.	NORTHERN FDS MULTIMANAGER GLOBAL REAL ESTAT	Y	$15,001 - $50,000	DIVIDEND and CAPITAL GAIN	$5,001 - $15,000
3.	NORTHERN FDS MULTIMANAGER EMERGING MKT EQ	Y	$15,001 - $50,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
4.	NORTHERN FDS MULTI MGR INTL EQ	Y	$50,001 - $100,000	DIVIDEND	$201 - $1,000
5.	NORTHERN FDS MULTI-MANAGER SMALL CAP	Y	$1,001 - $15,000	CAPITAL GAIN	$1,001 - $2,500
6.	NORTHERN FDS MULTI MGR MID CAP	Y	$15,001 - $50,000	DIVIDEND and CAPITAL GAIN	$2,501 - $5,000
7.	NORTHERN FDS SMALL CAP GROWTH FD	Y	$1,001 - $15,000	DIVIDEND and CAPITAL GAIN	$201 - $1,000
8.	NORTHERN FDS HIGH YIELD FIXED INC FD	Y	$50,001 - $100,000	DIVIDEND	$1,001 - $2,500
9.	NORTHERN FDS FIXED INCOME (did not appear on new entrant report; received from family trust in connection with estate of relative)	Y	$50,001 - $100,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
10.	NORTHERN FDS INTER TAX EXMP	Y	$100,001 - $250,000	DIVIDEND and CAPITAL GAIN	$1,001 - $2,500
11.	ISHARES TIPS BOND ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
12.	ISHARES 1-3 YR TREASURY BND ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
13.	ISHARES RUSSELL MIDCAP GROWTH ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
14.	ISHARES RUSSELL 2000 VALUE ETF	Y	None (or less than $1,001)	DIVIDEND	None (or less than $201)
15.	ISHARES JPM USD EMRG MKT BND ETF	Y	None (or less than $1,001)	DIVIDEND	None (or less than $201)
16.	ISHARES MBS ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
17.	ISHARES INTERMDT GOVT/CREDIT BND ETF	Y	$15,001 - $50,000	DIVIDEND	None (or less than $201)
18.	SPDR BARCLAYS HIGH YIELD BOND ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
19.	VANGUARD LONG TERM BOND FUND	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
20.	VANGUARD FTSE DEVELOPED MARKETS ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)

Filer's Name	Page Number
David Newman	5

BROKERAGE ACCOUNT ASSETS

#	Description	EIF	Value	Income Type	Income Amount
1.	VANGUARD GLOBAL EX-US REAL ESTATE ETF	Y	None (or less than $1,001)	DIVIDEND	None (or less than $201)
2.	VANGUARD EX US SMALL CAP ETF	Y	None (or less than $1,001)	DIVIDEND	None (or less than $201)
3.	VANGUARD EMERGING MARKETS ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
4.	VANGUARD CRS US MID CAP VALUE INDE ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
5.	VANGUARD CRSP US LARGE FUND CAP GROW IND ETF	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
6.	VANGUARD CRSP US LARGE CAP VALUE IND ET	Y	$1,001 - $15,000	DIVIDEND	None (or less than $201)
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