

Executive Branch Personnel Public Financial Disclosure Report

Date of Appointment, Candidacy, Election, or Nomination (Month, Day, Year) 01/20/2014		Reporting Status (Check Appropriate Boxes) <input checked="" type="checkbox"/> Incumbent <input type="checkbox"/> New Entrant, Nominee, or Candidate		Termination Date (If Applicable) (Month, Day, Year) Termination Filer <input type="checkbox"/>		Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.	
Last Name Rosenbaum		First Name and Middle Initial Amy		Department or Agency (If Applicable) D.		Reporting Periods Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable. Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.	
Title of Position Deputy Assistant to President for Legal Affairs		Address (Number, Street, City, State, and ZIP Code) 1600 Pennsylvania Ave. NW Washington D.C. 20502		Telephone No. (Include Area Code) 202-456-1414		Nominees, New Entrants and Candidates for President and Vice President: Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing. Schedule B—Not applicable. Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing. Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing. Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.	
Name of Congressional Committee Considering Nomination Not Applicable		Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
Signature of Reporting Individual [Redacted]		Date (Month, Day, Year) 4/7/2015					
Signature of Other Reviewer Michelle Jank		Date (Month, Day, Year) 5/29/15					
Signature of Designated Agency Ethics Official/Reviewing Official Shuffe WJ		Date (Month, Day, Year) 6-3-15					
Signature [Redacted]		Date (Month, Day, Year)					
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)							
Office of Government Ethics Use Only							
Agency Use Only							
OGE Use Only							

(Check box if filing extension granted & indicate number of days _____)

(Check box if comments are continued on the reverse side)

SCHEDULE A

Page Number

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Reporting Individual's Name
Rosenbaum, Amy D

Assets and Income

BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse).

None

Examples
 Central Airlines Common
 Doe Jones & Smith, Hometown, State
 Kempstone Equity Fund
 IRA: Heartland 500 Index Fund

Valuation of Assets at close of reporting period

BLOCK B

None (or less than \$1,001)																			
\$1,001 - \$15,000																			
\$15,001 - \$50,000	x																		
\$50,001 - \$100,000																			
\$100,001 - \$250,000																			
\$250,001 - \$500,000																			
\$500,001 - \$1,000,000																			
Over \$1,000,000*																			
\$1,000,001 - \$5,000,000																			
\$5,000,001 - \$25,000,000																			
\$25,000,001 - \$50,000,000																			
Over \$50,000,000																			

Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.

BLOCK C

Type	Amount										Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria	
	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000			Over \$5,000,000
Dividends													
Rent and Royalties													
Interest													
Capital Gains													
Expected Investment Fund													
Expected Trust													
Qualified Trust													
American Funds Capital Income Builder Cl 529A													
Jackson National Life Variable Annuity -- Curian Guidance Inst Alternative 100													
Corporate Property Assocs 18 Global													
National Financial Services Bank Deposit Sweep Program (Money Market Account)													
Corporate Property Assocs 17 Global													
Alps International Sector Dividend Dogs ETF													

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name
Rosenbaum, Amy D

SCHEDULE A continued

(Use only if needed)

Page Number

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BLOCK A		BLOCK B													BLOCK C												
Assets and Income	Valuation of Assets at close of reporting period	Valuation of Assets at close of reporting period													Amount					Date (Mo., Day, Yr.) Only if Honoraria							
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	Over \$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000		\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
Type	Dividends	Rent and Royalties	Interest	Capital Gains	Qualified Trust	Excepted Trust	Excepted Investment Fund																				
1	Alps Sector Dividend Dogs ETF	X																	X								
2	BlackRock Corporate High-Yield Fund, Inc		X																X								
3	Calamos Convertible Opportunities & Income Fund		X																	X							
4	Carey Watermark Invs Inc		X																X								
5	Kayne Anderson MLP Investment Company		X																X								
6	Kbs Real Estate Investment Trust II Inc		X																X								
7	PowerShares FTSE Rafi U.S. 1500 Small-Mid Portfolio			X																X							
8	PowerShares S&P 500 Low Volatility Portfolio			X																X							
9	Spdr S&P Global Dividend ETF			X																X							

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name

SCHEDULE A continued

(Use only if needed)

Page Number

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Assets and Income	BLOCK B Valuation of Assets at close of reporting period											BLOCK C Amount										Date (Mo., Day, Yr.) Only if Honoraria													
												Type																							
												Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000		\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)							
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)				
1		X											X								X														
2	Thornburg Investment Income Builder Fund Cl A												X							X															
3	Thornburg Investment Income Builder Fund Cl C												X																						
4	DFA Emerging Markets Value Portfolio Institutional Cl												X								X														
5	DFA Five Year Global Fixed Income Portfolio Institutional Cl												X								X														
6	DFA International Small Company Portfolio Institutional Cl												X								X														
7	DFA International Value Portfolio Institutional Cl												X									X													
8	DFA One-Year Fixed Income Portfolio Institutional Cl												X								X														
9	DFA Real Estate Securities Portfolio Institutional Cl												X								X														
10	DFA U.S. Core Equity I Portfolio Institutional Cl												X								X														

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name

SCHEDULE A continued
 (Use only if needed)

Page Number

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Assets and Income	BLOCK B Valuation of Assets at close of reporting period												BLOCK C Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.										Date (Mo., Day, Yr.) Only if Honoraria			
	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000		Over \$5,000,000	Other Income (Specify Type & Actual Amount)	
													Dividends	Rent and Royalties	Interest	Capital Gains										
1 S DFA U.S. Large-Cap Value Portfolio Institutional CI			X																							
2 S DFA U.S. Small-Cap Portfolio Institutional CI			X																							
3 McBee Strategic Consulting, LLC																										
4 Sun Trust Bank Checking Account																										Spouse Salary
5 Spouse 401k - McBee Strategic Consulting, LLC;																										
6 Fidelity VIP Contrafund Port I																										
7																										
8																										
9																										

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

SCHEDULE B

Reporting Individual's Name
Rosenbaum, Amy D

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None

Identification of Assets

Example	Transaction Type (X)	Date (Mo., Day, Yr.)	Amount of Transaction (X)															
			Purchase	Sale	Exchange	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	\$50,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of Divestiture
Central Airlines Common	X	2/1/99		X														
American Funds Capital Income Builder CI 529-A	X	12/22/2014	X															
DFA Emerging Markets Value Portfolio Institutional CI	X	5/29/14	X															
DFA One-Year Fixed Income Portfolio Institutional CI	X	5/29/14	X															
DFA International Small Company Portfolio Institutional CI	X	5/29/14	X															
DFA U.S. Large-Cap Value Portfolio Institutional CI	X	5/29/14	X															

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$350 and (2) travel-related cash reimbursements received from one source totaling more than \$350. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$140 or less. See instructions for other exclusions.

None

Source (Name and Address)	Brief Description	Value
Examples Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) Leather briefcase (personal friend)	\$500 \$385
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4		
5		

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting Individual's Name
 Rosenbaum, Amy D

SCHEDULE B continued
 (Use only if needed)

Part I: Transactions

1-16	Identification of Assets	Transaction Type (X)			Date (Mo., Day, Yr.)	Amount of Transaction (X)													
		Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$1,000,000,000	\$1,000,000,001 - \$5,000,000,000	\$5,000,000,001 - \$25,000,000,000	\$25,000,000,001 - \$50,000,000,000	Over \$50,000,000,000	Certificate of	
1	DFA Real Estate Securities Portfolio Institutional CI	X			5/29/14	X													
2	DFA U.S. Small-Cap Portfolio Institutional CI	X			5/29/14	X													
3	DFA Five Year Global Fixed Income Portfolio Institutional CI	X			5/29/14	X													
4	DFA International Value Portfolio Institutional CI	X			5/29/14	X													
5	DFA U.S. Core Equity I Portfolio Institutional CI	X			5/29/14	X													
6	John Hancock Tax-Advantaged Dividend Income Fund		X		3/6/14		X												
7	PowerShares S&P 500 Low Volatility Portfolio	X			3/6/14	X													
8	Spdr S&P Global Dividend ETF	X			3/6/14	X													
9	Carey Watermark Invs Inc	X			3/11/14	X													
10	PowerShares FTSE Rafi U.S. 1500 Small-Mid Portfolio	X			12/12/14	X													
11	Thornburg Investment Income Builder Fund CI A	X			12/12/14	X													
12	Alps Sector Dividend Dogs ETF	X			12/12/14	X													
13	Alps International Sector Dividend Dogs ETF	X			12/12/14	X													
14	BlackRock Global Allocation Fund CI A		X		12/12/14		X												
15	Thornburg Investment Income Builder Fund CI C	X			12/12/14	X													
16	Davis New York Venture Fund CI A		X		12/12/14		X												

*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

OGE Form 278 (Rev. 12/2011)
 5 C.F.R. Part 2634
 U.S. Office of Government Ethics

Do not complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

Reporting individual's Name
 SCHEDULE B continued
 (Use only if needed)

Page Number
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Part I: Transactions

16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1	Amount of Transaction (X)																								
																\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000*	\$5,000,001 - \$1,000,000,000	\$1,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	\$50,000,001 - \$25,000,000,000	\$25,000,001 - \$50,000,000,000	Over \$50,000,000	Certificate of divestiture											
Identification of Assets																																								
Transaction Type (X)		Date (Mo., Day, Yr.)		Amount of Transaction (X)																																				
Purchase		Sale		Exchange		Amount of Transaction (X)																																		
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*This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** accounts.

None

a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

Creditors (Name and Address)		Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (X)											
Examples						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	\$50,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
	First District Bank, Washington, DC John Jones, Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 Yrs. on demand		X										
1																	
2																	
3																	
4																	
5																	

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g. pension, 401k, deferred compensation); (2) continuation of payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits. None

Status and Terms of any Agreement or Arrangement		Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1			
2			
3			
4			
5			
6			

SCHEDULE D

Reporting Individual's Name
 Rosenbaum, Amy D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature. None

Examples	Organization (Name and Address)		Type of Organization	Position Held	From (Mo., Yr.) To (Mo., Yr.)	
	Natl' Assn. of Rock Collectors, NY, NY	Doe Jones & Smith, Hometown, State			6/92	Present
1			Non-profit education Law firm	President Partner	7/85	1/90
2						
3						
4						
5						
6						

Part II: Compensation in Excess of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate. None

Examples	Source (Name and Address)		Brief Description of Duties
	Doe Jones & Smith, Hometown, State	Metro University (client of Doe Jones & Smith), Moneytown, State	
1			Legal services Legal services in connection with university construction
2			
3			
4			
5			
6			